PTO/SB/21 (08-03)

09/910,358 Application Number **TRANSMITTAL** Filing Date July 19, 2001

| FORM (to be used for all correspondence after initial filing) | | | | | | | | |
|--|----------------|--|------------------------|-------------------------|---|--|--|--|
| | | | First Named Inventor | | Wenyuan SHI | | | |
| | | | Art Unit | | 1645 | | | |
| | | | Examiner Name | | Ford, Vanessa L. | | | |
| Total Number of Pages in This Submission | | | Attorney Docket Number | | 061818-5512 US | | | |
| | | 6 | | | (Formerly 2101363-991200) | | | |
| ENCLOSURES (Check all that apply) | | | | | | | | |
| Fee Transmittal Form | | ☐ Drawin | Drawing(s) | | After Allowance Communication to Group | | | |
| Fee Attached | | Licensing-related Papers | | | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendment/Reply | | Petition | | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | |
| ☐ 'After Final | | Change of Address | | Proprietary Information | | | | |
| Affidavits/declaration(s) | | Revocation and Power of Attorney (with Schedule A) | | | Status Letter | | | |
| Extension of Time Request (See Remarks) | | Statement Under 37 CFR § 3.73(b) | | | Other Enclosure(s) (please identify below): | | | |
| Express Abandonment Request | | Request for Refund | | | Return postcard | | | |
| | | CD, Number of CD(s) | | | | | | |
| ☐ Information Disclosure Statement | | · | | | | | | |
| Certified Copy of Priority Document(s) | | The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310. | | | | | | |
| Response to Missing Parts/ Incomplete Application | | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | | |
| | | | | ICANT, ATTORNEY | , OR AGEN | T | | |
| Firm Morgan, Lewis & Bockius LLP or Individual Nan Wod Reg. No. 43,360 | | | | | | | | |
| Signature /// | | | | | | | | |
| Date July 24, 2005 | | | | | | | | |
| | | CERTIFIC | ATE OF | TRANSMISSION/M | AILING | | | |
| I hereby certify that this correspon as first class mail in an envelope a | | | | | | tates Postal Service with sufficient postage 50 on the date shown below. | | |
| Typed or printed name | Judi Stillwell | | | | | | | |
| Signature | lewiel | / · | | Date | July <u> 25</u> , 2005 | | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication

See Attached Schedule A

of:

Serial No.:

See Attached Schedule A

Filed:

See Attached Schedule A

For:

See Attached Schedule A

Attorney Docket No:

See Attached

Schedule A

REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

The Regents of the University of California, owner of the entire right, title and interest in, to and under the inventions listed in the attached Schedule hereby revokes all previous powers of attorney and appoints Morgan, Lewis & Bockius LLP, Customer No. 43850, and each of them, its attorneys, to prosecute these matters, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 43850, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (650) 843-4000.

The Regents of the University of Assignee:

California

Signature:

Lorelei de Larena

Typed Name:

Intellectual Property Manager

Position/Title:

Address:

10920 Wilshire Blvd. Suite 1200

Los Angeles, CA 90024-1406



Schedule A- UCLA

| MLB File No. UC R # in brackets | App. No. / Patent No. | Inventor(s) | Title | Date Filed Date Issued |
|--|-----------------------|--|--|---------------------------|
| 061818-5510 US | 09/378,577 | Shi and Anderson | Method for the Treatment and Prevention of Dental Caries | 08/20/99 |
| (1999-543-1) | | | | |
| 061818-5511 US | 09/881,823 | Shi, Anderson, et al. | DNA Molecules and Recombinant DNA Molecules for Producing | 06/15/01 |
| (1999-543-2) | | | Humanized Monoclonal Antibodies to S. Mutans | |
| 061818-5512 US | 09/910,358 | Shi, Anderson, et al. | Fusion Proteins for Targeted Delivery of Antimicrobial Peptides | 07/19/01 |
| (1999-543-3) | | | | |
| 061818-5512 US01 | 10/077,624 | Shi, Anderson, et al. | Anti-Microbial Targeting Chimeric Pharmaceutical | 02/14/02 |
| (1999-543-4) | | | | |
| 061818-5512 US02 | 10/706,391 | Randal Eckert, Qi, Shi and Anderson | Anti-Microbial Targeting Chimeric Pharmaceutical | 11/12/03 |
| (2003-509-1 according to GC records) | | | | |
| (1999-543-5 according to your records) | , | | | |
| 061818-5509 US | 10/156,378 | Shi, Anderson, et al. | Herbs & Herbal Combinations Useful for the Treatment of Microbial Infections | 05/28/02 |
| (2002-409-1) | | | | |
| 061818-5515 US | 10/795,554 | Shi, Anderson, et al. | Compositions Useful for the Treatment of Microbial Infection | 03/04/04 |
| (2004-522-1) | | | | · |
| 061818-5516 US | 10/164,446 | Justin Merritt, Shi, Anderson, et al. | Quorum Sensing and Biofilm Formation | 06/06/02 |
| (2002-483-1) | | | | |

JUL 2 9 2005 ES

In re Application of:
Wenyuan SHI, et al.

Application Serial No.: 09/910,358

Examiner: Ford, Vanessa L.

Art Unit: 1645

Filing Date: July 19, 2001

Confirmation No. 2383

For:

FUSION PROTEINS FOR TARGETED

DELIVERY OF ANTIMICROBIAL PEPTIDES

Attorney Docket No.:

061818-5512 US

(Formerly 2101363-991200)

COMMUNICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants enclose herewith a Revocation and Power of Attorney by Assignee executed on April 13, 2005 by Lorelei de Larena on behalf of The Regents of The University of California and a Statement under 37 C.F.R 3.73(b) executed by Nan Wu (Reg. No. 43,360) on behalf of the assignee, as appointed representative under the Power of Attorney.

Applicants believe that no fee is required with the submission of the enclosed document. However, if it is found that a fee is necessary, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310. A copy of this sheet is enclosed for accounting purposes.

Date:

July **7** , 2005

Respectfully submitted

Nan Wu

43,360 (Reg. No.)

` •

MORGAN LEWIS & BOCKIUS LLP 2 Palo Alto Square 3000 El Camino Real Suite 700 Palo Alto, CA 94306-2212 (415) 442-1340

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney Docket No.:

061818-5512 US

(Formerly 2101363-991200)

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| Sir: | | | | | | | |
|--------|---|---|---|---------------------------------------|-----------------------|--|--|
| | | rship, university gove the assignee of an assignee of | rnment agency), s f the entire righ less than the e | • | est; or and interest. | ype of Assignee, e.g., | |
| | | pplication/patent lication/patent id | | | n assignment | from the inventor(s) of | |
| × | | • | | | | demark Office on by thereof is attached. | |
| OR | | | | | | | |
| | A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: | | | | | | |
| | 1. From The do at Ree | cument was rec | | nited States Pat vhich a copy the | | | |
| | | cument was rec | | nited States Pat or for which a co | | | |
| The | undersigr | ned whose signatur | re and title is sup | plied below is auth | orized to act on | behalf of the assignee. | |
| Name | _ | Nan War | <u> </u> | | | <u> </u> | |
| Signa | ature _ | 14/1/ | | | Date _ | July 2005 | |
| .Title | | Attorney- Reg. No. | . 43,360 | | Telephone | (415) 442-1340 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE n re Application of:

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CHANGE OF ADDRESS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please address all future correspondence in this case to:

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